

## Richard P. Gilly Intellectual Property Law Offices, P.C.

patents  
trademarks  
copyrights

6  
One Penn Center, Suite 1500  
1617 John F. Kennedy Blvd.  
Philadelphia, PA 19103-1815  
Tel: 215.557.2880  
Fax: 215.557.2885  
gilly87@post.harvard.edu  
www.gillylaw.com

**FACSIMILE**

If there is a problem with transmission or if all pages are not received, please call  
215 557 2880 for retransmission.

TO: Ms. P. Kidwell

FAX #: 703 305 3230

COMPANY: PCT Examiner

FROM: Richard P. Gilly

DATE: October 3, 2001

Total Number  
of pages: 10 / 11

Re: PCT/US0027754  
Docket No. 600-3001

Comments: As you requested.

**COPY**

This message is intended only for the use of the individual or entity to which it is addressed, and may contain information that is **PRIVILEGED**, **CONFIDENTIAL** and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone, and return the original to us by mail without making a copy. Thank you.

Allentown Office:  
609 Hamilton Mall  
Allentown, PA 18101  
Tel: 610.740.1016  
Fax 610.433.3090

FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER  <b>600-3001</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/US00/27754</b>		INTERNATIONAL FILING DATE <b>06 October 2000 (06.10.00)</b>	
TITLE OF INVENTION <b>Confined space entry device and related method of assembly</b>		PRIORITY DATE CLAIMED <b>07 October 1999 (07.10.99)</b>	
<b>APPLICANT(S) FOR DO/EO/US</b> <b>Uni-Hoist, LLC.</b>			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).			
<b>Items 13 to 20 below concern document(s) or information included:</b>			
13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment. 16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail 23. <input type="checkbox"/> Other items or information:			

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/US00/27754	ATTORNEY'S DOCKET NUMBER 600-3001																				
24. The following fees are submitted:		CALCULATIONS PTO USE ONLY																				
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b>																						
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00																						
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00																						
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00																						
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00																						
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00																						
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$100.00																				
Surchage of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		\$0.00																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>14 - 20 =</td> <td>0</td> <td>x \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td>0</td> <td>x \$80.00</td> </tr> <tr> <td colspan="3"></td> <td style="text-align: right;">□</td> </tr> <tr> <td colspan="3"></td> <td style="text-align: right;">\$0.00</td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	14 - 20 =	0	x \$18.00	Independent claims	3 - 3 =	0	x \$80.00				□				\$0.00	\$0.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																			
Total claims	14 - 20 =	0	x \$18.00																			
Independent claims	3 - 3 =	0	x \$80.00																			
			□																			
			\$0.00																			
Multiple Dependent Claims (check if applicable).																						
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$100.00</b>																				
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.		\$0.00																				
<b>SUBTOTAL =</b>		<b>\$100.00</b>																				
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).		\$0.00																				
<b>TOTAL NATIONAL FEE =</b>		<b>\$100.00</b>																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		\$0.00																				
<b>TOTAL FEES ENCLOSED =</b>		<b>\$100.00</b>																				
		Amount to be: refunded <input type="checkbox"/> \$ charged <input type="checkbox"/> \$																				
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$100.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0695</u> A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																						
SEND ALL CORRESPONDENCE TO: <div style="border: 1px solid black; padding: 10px; display: inline-block;">           Richard P. Gilly            Richard P. Gilly Intellectual Property Law Offices, P.C.            One Penn Center - Suite 1500            1617 John F. Kennedy Boulevard            Philadelphia, PA 19103-1815            (215) 557-2880            FAX: (215) 557-2885         </div> <div style="margin-left: 20px; border: 1px solid black; padding: 10px; display: inline-block;">   <b>SIGNATURE</b>            Richard P. Gilly            NAME            37,630            REGISTRATION NUMBER            May 4, 2001            DATE         </div>																						



## \*\*\* CONFIRMATION REPORT \*\*\*

## SUCCESSFUL TX

10-03-01 14:41

ID:215 557 2885  
RICHARD GILLY

JOB NUM. ----- 987  
 START TIME ----- 14:39  
 ID NUM. ----- 703 305 3230  
 RESOLUTION ----- STANDARD  
 TOTAL PAGES ----- 09  
 MACHINE ENGAGED ----- 02'42  
 INFORMATION ----- OK

Richard P. Gilly Intellectual Property Law Offices, P.C.

Patents  
Trademarks  
Copyrights

One Penn Center, Suite 1500  
 1617 John F. Kennedy Blvd  
 Philadelphia, PA 19102-1816  
 Tel: 215.557.2880  
 Fax: 215.557.2880  
 gilly87@post.harvard.edu  
 www.gillylaw.com

## FACSIMILE

If there is a problem with transmission or if all pages are not received, please call  
 215.557.2880 for retransmission.

TO: Ms. P. Kidwell FAX #: 703 305 3230  
 COMPANY: PCT Examiner  
 FROM: Richard P. Gilly  
 DATE: October 3, 2001  
 Total Number of pages: 10  
 Re: PCT/US0027754  
 Docket No. 600-3001  
 Comments: As you requested.

This message is intended only for the use of the individual or entity to which it is addressed, and may contain information that is PRIVILEGED, CONFIDENTIAL and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone, and return the original to us by mail without making a copy. Thank you.